



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: John Nafeh and Kenton K. Yee

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TITLE: Methods and Apparatus for Formulation, Initial Public or Private Offering, and Secondary Market Trading of Risk Management Contracts

EXAMINER: Harbeck, Timothy M.

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CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date printed below:

Date: 7-11-2006

K. Brian Bathurst
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MAIL STOP AMENDMENT
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

RESPONSE TO OFFICE ACTION

Sir:

In response to the Office Action mailed on January 13, 2006 ("Office Action"), the following remarks are provided and a petition and fee for a three-month extension of time is submitted herewith.